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# Coastal tomographic mapping of nonlinear tidal currents and residual currents



CONTINENTAL SHELF RESEARCH

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## ABSTRACT

Depth-averaged current data, which were obtained by coastal acoustic tomography (CAT) July 12–13, 2009 in Zhitouyang Bay on the western side of the East China Sea, are used to estimate the semidiurnal tidal current  $(M_2)$  as well as its first two overtide currents  $(M_4 \text{ and } M_6)$ . Spatial mean amplitude ratios  $M_2:M_4:M_6$  in the bay are 1.00:0.15:0.11. The shallow-water equations are used to analyze the generation mechanisms of  $M_4$  and  $M_6$ . In the deep area, where water depths are larger than 60 m,  $M_4$  velocity amplitudes measured by CAT agree well with those predicted by the advection terms in the shallow water equations, indicating that  $M_4$  in the deep area is predominantly generated by the advection terms.  $M_6$  measured by CAT and  $M_6$  predicted by the nonlinear quadratic bottom friction terms agree well in the area where water depths are less than 20 m, indicating that friction mechanisms are predominant for generating  $M_6$  in the shallow area. In addition, dynamic analysis of the residual currents using the tidally averaged momentum equation shows that spatial mean values of the horizontal pressure gradient due to residual sea level and of the advection of residual currents together contribute about 75% of the spatial mean values of the advection by the tidal currents. This is the first ever nonlinear tidal current study by CAT.

## 1. Introduction

The overtides  $M_4$  and  $M_6$ , are common shallow-water constituents in coastal regions where the  $M_2$  tide is dominant. They are generated when the tide propagates into a shallow-water area. Sea level observational data (e.g., Aubrey and Speer (1985), Speer and Aubrey (1985)) have shown obvious distortion from the combination of the  $M_2$  tide with its overtides. But there are few observational data of  $M_4$  and  $M_6$ overtides in tidal current data over a large area.

Sea level observations (Aubrey and Speer, 1985; Parker, 1991) and tidal current observations (Blanton et al., 2002; Parker, 1991) have shown that presence of the  $M_4$  tide is a general feature of many estuaries or coastal regions. Then theoretical analyses (Speer and Aubrey, 1985; Friedrichs and Aubrey, 1988; Parker, 1991) and numerical models (Pingree and Maddock, 1978; Hench and Luettich, 2003; Sheng and Wang, 2004) have indicated that the continuity and advection terms are the main contributors to generation of the  $M_4$  tide. Sheng and Wang (2004) demonstrated that the advection terms are most important for generating the  $M_4$  tide in Lunenburg Bay, Nova Scotia, while Pingree and Maddock (1978) pointed out that continuity terms played a more important role in the English Channel. Therefore the  $M_4$  generation mechanisms are complicated and can be different in different regions.

Earlier studies of the  $M_6$  tide are mainly based on theoretical derivation, with Fourier expansion of quadratic bottom friction (Fang, 1987; Parker, 1991; Le Provost, 1991). They point out that quadratic bottom friction is partly nonlinear, and the nonlinear part plays an important role in generating the  $M_6$  tide. Observational data (Blanton et al., 2002) and numerical models (Sheng and Wang, 2004) have also been used to discuss the  $M_6$  tide generation mechanisms, and confirm that quadratic bottom friction plays an important role in generating the  $M_6$  tide.

Previous  $M_4$  and  $M_6$  tide analyses based on observational data mainly used sea level data rather than tidal current data (e.g., Aubrey and Speer (1985), Parker (1991), Dong and Su (1991a)). Idealized experiments (e.g., Pingree and Maddock (1980) and Hench et al.

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(2002)) and numerical models (e.g., Dong and Su (1991b), Walters and Werner (1991)) were widely used to understand the dynamics of nonlinear tides. The dynamical mechanisms of nonlinear tides have been well documented by these studies.

In previous studies, the observational current data were mainly point measurements from current meters (e.g., Blanton et al. (2002) and Parker (1991)), which do not readily enable mapping of the tidal current structure over a large area. In order to examine  $M_4$  generation mechanisms, the 2-dimensional continuous current field should be mapped accurately to allow calculation of the advection terms. However, with conventional measurement techniques it is difficult to deploy a large number of current meters within an observation region to obtain the needed 2-dimensional continuous current field. Coastal Acoustic Tomography (CAT) can achieve this easily by deploying only a few instruments on the periphery of the observation region (Yamaoka et al., 2002). Thus, we can analyze the generation mechanisms of  $M_4$ and  $M_6$  using the current field mapped with the CAT.

In order to study the dynamics of overtides M4 and M6 using CAT data, we chose for our research region a shallow bay, Zhitouyang Bay (hereinafter ZTYB) having a mean water depth of about 25 m and complex topography, which is beneficial for generating nonlinear tides. Zhu et al. (2013) had already determined the horizontal distribution of tidal currents and residual currents in ZTYB by using CAT (Fig. 1). They pointed out that the M2 tide was the predominant tidal constituent, and the  $M_2$  velocity amplitudes reached 1.0 m s<sup>-1</sup>. The residual currents were largest along the deep channel, with a spatial mean speed of 0.2 m s<sup>-1</sup>. Thus, relatively large overtides of the M<sub>2</sub> tide were expected. But they did not further discuss the M2 overtides and the dynamics of the residual current. The correlation coefficient between the M<sub>2</sub> tidal current amplitude and the residual current speed is 0.52 (Fig. 2), indicating that the residual current may be induced by the tidal currents. Moreover, these data of Zhu et al. (2013) are ideal for analyzing the M2 overtides and the relative importance of different generation mechanisms of these overtides.

In this paper, we use the CAT data to estimate the  $M_4$  and  $M_6$  tidal current ellipses. Then we calculate the  $M_4$  tidal current ellipses obtained from the nonlinear advection terms in the shallow water equations (hereinafter,  $M_{4\_cal}$ ) and the  $M_6$  tidal current ellipses obtained from the nonlinear components of the quadratic bottom friction terms (hereinafter,  $M_{6\_cal}$ ) to demonstrate the  $M_4$  and  $M_6$  overtide generation mechanisms. We also discuss the residual current dynamical mechanisms in the observation region by using the tidally averaged momentum equation.



Fig. 2. Correlation between  $M_2$  tidal current amplitude and residual current speed. The thin solid line indicates the regression line derived from the least-squares method. The two gray broken lines indicate the standard deviation range.

## 2. Data set

The data used in this study were obtained from the CAT observation experiment in ZTYB (Fig. 1). The 3-min interval travel-time difference raw data were measured for about 27 h during July 12–13, 2009 (Zhu et al., 2013). With 27 h observation, we can extract information of semidiurnal tides as represented by  $M_2$  tidal constituent and of diurnal tides as represented by  $K_1$  tidal constituent as well as that of overtides of  $M_4$  and  $M_6$  tidal constituents.

In order to obtain the horizontal distribution of the depth averaged current field from the differential travel-time data measured by CAT, the inverse method (Park and Kaneko, 2001) was used. The equation for the inverse method is as follows:

$$y = Ex + e, \tag{1}$$

where y is a 21-element column vector, each column denotes the travel-time difference data of each station pair; x is a vector of the 20element row vector of the unknown coefficients used in the Fourier function expansion of the stream function used to estimate the current field; E is a 21×20 matrix, determined by the locations of the 7 CAT stations; e is the error vector. The optimum solution of Eq. (1) is obtained by minimizing the objective function (J) with the tapered



**Fig. 1.** The distribution of (a)  $M_2$  tidal current ellipses, and (b) residual currents in Zhitouyang Bay, observed by Zhu et al. (2013). The gray solid circles are the positions of the CAT stations (C1–C7). The area enclosed by the dashed lines indicates the CAT observational region. The figure in the upper right of panel (a) shows the East China Sea with a red circle denoting the location of the study. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article).

least squares method. The objective function is given by:

$$J = (\mathbf{y} - E\mathbf{x})^T (\mathbf{y} - E\mathbf{x}) + \alpha^2 \mathbf{x}^T \mathbf{x},$$
(2)

where the weighting factor  $\alpha$  is determined by the L-curve method (Hansen and O'Leary, 1993).

Grid size for the data display in the inverse analysis is set to be  $1.0 \times 1.0$  km, which is the same as that used in Zhu et al. (2013).

For a traditional harmonic tidal theory (Godin, 1972), an observed current velocity time series v(t) can be written in the following form:

$$v(t) = v_0(t) + \sum_{i=1}^{m} (A_i \sin \sigma_i t + B_i \cos \sigma_i t)$$
(3)

where *i* denotes tidal constituents,  $A_i$ ,  $B_i$  are harmonic constants,  $\sigma_i$  is the angular frequency, t denotes the time,  $v_0(t)$  is the residual current. Following this style, the tidal currents of M<sub>4</sub> and M<sub>6</sub> can be written as:

$$\begin{cases} v_{M_4}(t) = A_{M_4} \sin \sigma_{M_4} t + B_{M_4} \cos \sigma_{M_4} t \\ v_{M_6}(t) = A_{M_6} \sin \sigma_{M_6} t + B_{M_6} \cos \sigma_{M_6} t \end{cases}$$
(4)

where,  $A_{M_4}$ ,  $B_{M_4}$ ,  $A_{M_6}$ ,  $B_{M_6}$  are harmonic constants of M<sub>4</sub> and M<sub>6</sub>, respectively.  $\sigma_{M_4}$ ,  $\sigma_{M_6}$  (i.e., 1.01 and 1.52 h<sup>-1</sup>) are the angular frequencies of M<sub>4</sub> and M<sub>6</sub>. After obtaining the current field at each spatial grid point for a period of 27 h by using the inverse method, we then compute the M<sub>4</sub> and M<sub>6</sub> harmonic constants at each grid point by using harmonic tidal analysis (Pawlowicz et al., 2002).

The minimum observation time for separating the M<sub>4</sub> and M<sub>6</sub> is =12.4 h, when the time interval of data is one hour (Godin,  $\sigma_{M_6} - \sigma_{M_4}$ 1972). Our data length is about 27 h which is longer than 12.4 h and is sufficient to separate the M4 and M6 constituents. On the other hand, to resolve well the M<sub>4</sub> and M<sub>6</sub> overtides, we used 15-min running means of CAT data, instead of the hourly running means used in Zhu et al. (2013). This 15-minute time interval in our data allows us to have at least 16 data points in the M<sub>6</sub> period and more than that in the M<sub>4</sub> period. The root mean square differences (RMSDs) of the differential travel-time data between the 15-minute running mean data and the hourly running mean data range from 0.05 to 0.30 ms, and the corresponding RMSDs of velocity range from 0.01 to  $0.06 \text{ m s}^{-1}$ . Thus, the 15-min running mean data are credible in this study.

## 3. Theoretical derivation of M<sub>4</sub> and M<sub>6</sub>

After the tide propagates into a shallow-water region, the nonlinear terms in the momentum equations become non-negligible; the nonlinear advection term and the nonlinear friction term are the important terms in the momentum equations (Fang, 1987). To obtain the M<sub>4</sub> and M<sub>6</sub> tidal currents generated by the advection and quadratic bottom friction terms, the derivation of these terms in the two-dimensional shallow-water equations has been done. In our theoretical derivation, the two-dimensional shallow-water equations (Le Provost, 1991) can be written as follows:

$$\begin{cases} \frac{\partial u}{\partial t} + u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial y} - fv = -g\frac{\partial \eta}{\partial x} - \frac{C_d}{h+\eta}u\sqrt{u^2 + v^2} \\ \frac{\partial v}{\partial t} + u\frac{\partial v}{\partial x} + v\frac{\partial v}{\partial y} + fu = -g\frac{\partial \eta}{\partial y} - \frac{C_d}{h+\eta}v\sqrt{u^2 + v^2} \end{cases}$$
(5)

Here, x, y are the horizontal spatial coordinates (positive denoting eastward and northward respectively), t is time, u, v are the horizontal velocity components; g is gravitational acceleration (=9.8 m s<sup>-2</sup>);  $\eta$  is surface elevation;  $C_d$  is bottom drag coefficient (=0.0025); h is the water depth; f is Coriolis parameter (=7.27×10<sup>-5</sup> s<sup>-1</sup>). Thus, the nonlinear advection terms are  $u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial y}$  and  $u\frac{\partial v}{\partial x} + v\frac{\partial v}{\partial y}$ ; the nonlinear friction terms are  $\frac{C_d}{h+\eta}u\sqrt{u^2+v^2}$  and  $\frac{C_d}{h+\eta}v\sqrt{u^2+v^2}$ . We then derive the two-dimensional shallow-water equations in the following steps.

The tidal velocity components of  $M_2$  (i.e.,  $u_{\sigma}$  and  $v_{\sigma}$ ) can be written

$$\begin{cases} u_{\sigma} = U_{c}(x, y)\cos(\sigma t) + U_{s}(x, y)\sin(\sigma t) \\ v_{\sigma} = V_{c}(x, y)\cos(\sigma t) + V_{s}(x, y)\sin(\sigma t) \end{cases}$$
(6)

Here,  $U_c(x, y)$ ,  $U_s(x, y)$ ,  $V_c(x, y)$ ,  $V_s(x, y)$  are harmonic constants of the  $M_2$  tidal current at each spatial point,  $\sigma$  is the  $M_2$  angular frequency.

The M<sub>4</sub> tide is mainly caused by the nonlinear advection terms of the M<sub>2</sub> tidal current (Parker, 1991). Substituting Eq. (6) into Eq. (5), we find that the nonlinear advection terms can be written as follows:

$$\begin{cases} A_0 + B_c \cos(2\sigma t) + B_s \sin(2\sigma t) \\ C_0 + D_c \cos(2\sigma t) + D_s \sin(2\sigma t) \end{cases}$$
(7)

Here,

$$\begin{aligned} A_{0} &= \frac{1}{2} \bigg( U_{c} \frac{\partial U_{c}}{\partial x} + V_{c} \frac{\partial U_{c}}{\partial y} + U_{s} \frac{\partial U_{s}}{\partial x} + V_{s} \frac{\partial U_{s}}{\partial y} \bigg); C_{0} \\ &= \frac{1}{2} \bigg( U_{c} \frac{\partial V_{c}}{\partial x} + V_{c} \frac{\partial V_{c}}{\partial y} + U_{s} \frac{\partial V_{s}}{\partial x} + V_{s} \frac{\partial V_{s}}{\partial y} \bigg); \\ B_{c} &= \frac{1}{2} \bigg( U_{c} \frac{\partial U_{c}}{\partial x} + V_{c} \frac{\partial U_{c}}{\partial y} + U_{s} \frac{\partial U_{s}}{\partial x} + V_{s} \frac{\partial U_{s}}{\partial y} \bigg); \\ B_{s} &= \frac{1}{2} \bigg( U_{c} \frac{\partial U_{s}}{\partial x} + U_{s} \frac{\partial U_{c}}{\partial x} + V_{c} \frac{d U_{s}}{\partial y} + V_{s} \frac{\partial U_{c}}{\partial y} \bigg); \\ B_{c} &= \frac{1}{2} \bigg( U_{c} \frac{\partial V_{c}}{\partial x} + V_{c} \frac{\partial V_{c}}{\partial y} + U_{s} \frac{\partial V_{s}}{\partial x} + V_{s} \frac{\partial V_{s}}{\partial y} \bigg); \\ D_{c} &= \frac{1}{2} \bigg( U_{c} \frac{\partial V_{c}}{\partial x} + V_{c} \frac{\partial V_{c}}{\partial y} + V_{s} \frac{\partial V_{s}}{\partial x} + V_{s} \frac{\partial V_{s}}{\partial y} \bigg); \\ D_{s} &= \frac{1}{2} \bigg( U_{c} \frac{\partial V_{s}}{\partial x} + U_{s} \frac{\partial V_{c}}{\partial x} + V_{c} \frac{\partial V_{s}}{\partial y} + V_{s} \frac{\partial V_{c}}{\partial y} \bigg). \end{aligned}$$

Then we can obtain the currents from the advection terms (i.e.,  $M_{4 \text{ cal}}$ ) by integrating the  $M_{4}$  angular frequency terms in Eq. (7) with respect to time, as follows:

$$u_{2\sigma} = \frac{B_0}{2\sigma} \sin(2\sigma t - \alpha_u)$$

$$v_{2\sigma} = \frac{D_0}{2\sigma} \sin(2\sigma t - \alpha_v)$$
(8)

Here,

$$B_0 = \sqrt{B_c^2 + B_s^2}$$
;  $D_0 = \sqrt{D_c^2 + D_s^2}$ ;  $\alpha_u = \arctan\left(\frac{B_s}{B_c}\right)$ ;  $\alpha_v = \arctan\left(\frac{D_s}{D_c}\right)$ . The velocities in Eq. (8), having the M<sub>4</sub> angular frequency, are considered to be the predicted M<sub>4</sub> tidal currents. Then, because the predicted M<sub>4</sub> are similar to the M<sub>4</sub> currents measured by CAT, we can confirm that the advection terms make a primary contribution to the generation of M<sub>4</sub> tidal currents may also be generated by other factors or propagate to the observation site from adjacent regions.

On the other hand, the overtide that has three times the M<sub>2</sub> angular frequency (i.e.,  $3\sigma$ ) is mainly caused by the friction terms (Fang, 1987; Parker, 1991; Le Provost, 1991). The quadratic bottom friction terms of the linear tide can be written in the following form:

$$\begin{cases} \tau_{\rm maj} = C_d \left| \sqrt{u_{\rm maj}^2 + u_{\rm min}^2} \right| u_{\rm maj} / h \\ \tau_{\rm min} = C_d \left| \sqrt{u_{\rm maj}^2 + u_{\rm min}^2} \right| u_{\rm min} / h \end{cases}$$
(9)

Here,  $\tau_{maj}$  and  $\tau_{min}$  are the bottom friction along the major and minor axis directions of the linear tide, respectively;  $u_{mai}$  and  $u_{min}$  are the velocities along the major and minor axis directions of the linear tide, respectively; *h* is the water depth. Considering  $u_{maj} \gg u_{min}$  (Fig. 1), Eq. (9) can be written as

$$\begin{cases} \tau_{\text{maj}} = C_d |u_{\text{maj}}|u_{\text{maj}}/h \\ \tau_{\text{min}} = C_d |u_{\text{maj}}|u_{\text{min}}/h \end{cases}$$
(10)

Eq. (6) can also be written in the following form:

as

$$\begin{cases} u_{\text{maj}} = U_{\text{maj}}(x, y) \cos \sigma t \\ u_{\text{min}} = U_{\text{min}}(x, y) \sin \sigma t \end{cases}$$
(11)

Here,  $U_{\rm maj}$  and  $U_{\rm min}$  are the amplitudes along the major and minor axis directions of the linear tide, respectively.

When we consider only one linear tidal constituent, the Fourier expansion of Eq. (10) can be written as follows:

$$\begin{cases} \tau_{\text{maj}} = U_{\text{maj}}(x, y)^2 \sum_{m=0,1,2,3,\dots} (-1)^{m+1} \frac{8}{(2m-1)(2m+1)(2m+3)\pi} \cos(2m+1)\sigma t \\ \tau_{\text{min}} = U_{\text{maj}}\left(x, y\right) U_{\text{min}}\left(x, y\right) \sum_{m=0,1,2,3,\dots} (-1)^m \frac{8}{(2m-1)(2m+3)\pi} \sin(2m+1)\sigma t \end{cases}$$
(12)

The predicted  $M_6$  tidal currents (i.e.,  $M_{6\_cal}$ ) are obtained by setting m=1 and integrating Eq. (12) with respect to time as follows:

$$u_{3\sigma} = U_{\text{maj}}(x, y)^2 \frac{8C_d}{45h\pi} \cos 3\sigma t$$
  

$$v_{3\sigma} = -U_{\text{maj}}(x, y)U_{\text{min}}(x, y) \frac{4C_d}{15h\pi} \sin 3\sigma t$$
(13)

The velocities in Eq. (13) having the  $M_6$  angular frequency are considered to be the predicted  $M_6$  tide. From the similarity between the measured  $M_6$  and predicted  $M_6$  currents, we can confirm the contribution of quadratic bottom friction to the generation of the  $M_6$  tide. Here we should note that the phases in Eq. (11) are ignored to make derivation of the Fourier expansion easier.

In this theoretical derivation, we firstly substitute the tidal current forms of  $M_2$  (Eqs. (6) and (11)) into the advection terms and quadratic bottom friction terms of the shallow water equations (Eq. (5)), respectively. Then, we obtain the terms having the  $M_4$  and  $M_6$ frequencies (Eqs. (8) and (13)) as the predicted  $M_4$  and  $M_6$  tidal currents. Comparing the predicted  $M_4$  and  $M_6$  currents (i.e., Eqs. (8) and (13)) with those observed directly by CAT, we can quantify the contribution of advection terms and quadratic bottom friction terms for generating  $M_4$  and  $M_6$ .

## 4. Results and discussion

We estimate the tidal constituents and their errors by a tidal harmonic analysis using the T\_TIDE program (Pawlowicz et al., 2002). For tidal currents in ZTYB,  $M_2$  is the strongest constituent. The  $M_2$  tidal current ellipses calculated using the 15-min running mean data have no significant differences from the hourly running mean results of Zhu et al. (2013) (Fig. 1). The spatial mean semimajor and semiminor axis lengths of the  $M_2$  tidal ellipses are 0.97 and 0.07 m s<sup>-1</sup>, respectively. We estimate the spatial mean errors of  $M_2$ ,  $M_4$  and  $M_6$  velocity amplitudes as 0.06 m s<sup>-1</sup>, 0.05 m s<sup>-1</sup> and 0.05 m s<sup>-1</sup>, respec-

tively. Here we should note that, due to the duration of the observation data, we use  $M_2$  as representative of the semidiurnal tidal constituents.

### 4.1. Results for $M_4$ tidal currents

The horizontal distribution of the  $M_4$  tidal ellipses measured by CAT and those of  $M_{4\_cal}$  are shown in Fig. 3. The  $M_4$  currents (Fig. 3a) are relatively large near the Luotou and Qinzimen channels with a maximum value 0.27 m s<sup>-1</sup>, corresponding well to the distribution of the  $M_2$  tidal current (Fig. 1). The area averaged semimajor and semiminor axis lengths of the  $M_4$  tidal ellipses are 0.15 and 0.04 m s<sup>-1</sup>, respectively (Table 1). The spatial mean ellipticity of the  $M_4$  tide is 0.27, which is larger than that of  $M_2$  (0.07). The  $M_{4\_cal}$ currents (Fig. 3b) are also relatively larger near the Luotou and Qinzimen channels with a maximum value 0.32 m s<sup>-1</sup> near Luotou channel. The  $M_{4\_cal}$  ellipses have the same area averaged semimajor and semiminor axis lengths as the observed  $M_4$  values (Table 1).

The  $M_4$  semimajor axis direction is mainly northeast-southwest in the west part of the observation region, and becomes northwest-southeast in the east part (Fig. 3a). The  $M_{4\_cal}$  semimajor axis direction is mainly northeast-southwest in the west part of the region, and becomes north-south in the east part (Fig. 3b).

Comparing the results for the tidal ellipses of  $M_4$  (Fig. 3a) and  $M_{4\_cal}$  (Fig. 3b), the spatial distributions agree better in the west part of the observational region (red ellipses in Fig. 3) where the directions of the semimajor axes of both sets of ellipses are mainly northeast-southwest. The area averaged semimajor and semiminor axis lengths are the same for the two sets. The difference between their maximum speeds (0.27 m s<sup>-1</sup> and 0.32 m s<sup>-1</sup>, respectively) is 0.05 m s<sup>-1</sup>, which is less than the standard deviation (STD) of the amplitude differences between  $M_4$  and  $M_{4\_cal}$  (0.07 m s<sup>-1</sup>). From such close maximum speeds of  $M_4$  and  $M_{4\_cal}$ , we therefore confirm that the advection terms play an important role in generating  $M_4$  currents in ZTYB.

Furthermore, we compare the velocity amplitudes of  $M_4$  and  $M_{4\_cal}$  to confirm the contribution of advection terms in generating the  $M_4$  tide in different areas of the observational region. The relationship between the  $M_4$  and  $M_{4\_cal}$  velocity amplitudes and phase is shown in Fig. 4. In this figure we see that not all the data are gathered around the "perfect agreement" diagonal line. We consider the  $M_4$  and  $M_{4\_cal}$  ellipses to be similar at those points (red dots in Fig. 4) for which the differences of both velocity amplitude and phase between  $M_4$  and  $M_{4\_cal}$  are less than one STD of the differences between the  $M_4$  and  $M_{4\_cal}$  values, i.e.,  $0.07 \text{ m s}^{-1}$  and  $110^\circ$ , respectively. The corresponding ellipses are shown in red in Fig. 3; we find that the tidal current ellipses are most similar in the deep area where water depths are larger than 60 m. The distribution of  $M_2$  ellipses (Fig. 1a) shows that



Fig. 3. Tidal current ellipses of (a)  $M_4$ , and (b)  $M_4$ . cal. Red lines indicate similar ellipses for  $M_4$  and  $M_4$ . cal. The area enclosed by the dashed lines indicates the CAT observational region. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

## Table 1

| Spatial | mean | parameters | of tida | al current | ellipses | of M <sub>4</sub> | and $M_4$ | <sub>_cal</sub> and | their STDs. |
|---------|------|------------|---------|------------|----------|-------------------|-----------|---------------------|-------------|
|---------|------|------------|---------|------------|----------|-------------------|-----------|---------------------|-------------|

|                            | Semimajor axis length $\pm$ STDs (m s <sup>-1</sup> ) | Semiminor axis length $\pm$ STDs (m s <sup>-1</sup> ) |  |  |
|----------------------------|---|---|--|--|
| ${ m M}_4 { m M}_{4\_cal}$ | $0.15 \pm 0.05$<br>$0.15 \pm 0.07$                    | $0.04 \pm 0.03$<br>$0.04 \pm 0.03$                    |  |  |

relatively large  $M_2$  currents appear in the deep area, which means the values of the advection terms are also larger in the deep area. Thus the advection terms make a primary contribution to  $M_4$  generation in the deep area. Moreover the tidal current ellipses (black ellipses in Fig. 3) are not generally similar in shallow waters, that is to say the  $M_4$  tidal currents in the shallow area are not mainly caused by the advection terms. There are other key factors for generating  $M_4$  in the shallow area, such as the continuity terms or the friction mechanism (Parker, 1991).

## 4.2. Results of $M_6$ tidal currents

The horizontal distribution of the  $M_6$  tidal ellipses measured by CAT and those of  $M_{6\_cal}$  are shown in Fig. 5. The maximum value of the  $M_6$  semimajor axes is 0.20 m s<sup>-1</sup>, appearing near Luotou channel. The spatial mean semimajor and semiminor axis lengths of the  $M_6$  current ellipses are 0.11 and 0.03 m s<sup>-1</sup> (Table 2). The  $M_6$  ellipticity is relatively small in the north part of the observational region, and becomes larger near Fodu channel. The spatial mean value of ellipticity is 0.27. The  $M_{6\_cal}$  currents (Fig. 5b) are relatively larger near Luotou channel with a maximum value 0.10 m s<sup>-1</sup>. The spatial mean semimajor and semiminor axis lengths of the  $M_{6\_cal}$  tidal current ellipses are 0.07 and 0.01 m s<sup>-1</sup>, respectively (Table 2). The spatial mean ellipticity of the  $M_{6\_cal}$  tide is 0.09.

The orientations of the  $M_6$  and  $M_{6_{cal}}$  tidal current ellipses correspond well throughout the observational region: both are mainly directed east-west in the north part of the observational region, and turn north-south along the 40 m bathymetric contour.

Comparing the tidal current ellipses of  $M_6$  (Fig. 5a) and  $M_{6_{cal}}$  (Fig. 5b), we see that the patterns agree well; the directions of the major axes of them both turn north-south along the deep area in the middle of the observational region. The  $M_6$  and  $M_{6_{cal}}$  currents are both relatively large near Luotou channel, and become small near Qinzimen channel, but the  $M_{6_{cal}}$  currents are slightly smaller than

those of  $M_6$ . These results confirm that the quadratic bottom friction terms play an important role in generating  $M_6$  currents in ZTYB.

We further show the relationship of  $M_6$  and  $M_{6_cal}$  velocity amplitudes (Fig. 6) to confirm the contribution of the quadratic bottom friction terms to the generation of  $M_6$  in different areas of the observational region. We consider the  $M_6$  and  $M_{6_cal}$  ellipses to be similar at those points (red dots in Fig. 6) for which the difference of velocity amplitude between  $M_6$  and  $M_{6_cal}$  is less than the STD of the differences between the  $M_6$  and  $M_{6_cal}$  values (i.e.,  $0.05 \text{ m s}^{-1}$ ). Where the tidal current ellipses are similar (red ellipses in Fig. 5) this similarity indicates  $M_6$  mainly generated by the quadratic bottom friction terms; this occurs principally in the east part of the observational region where water depth is less than 20 m. These results indicate that the quadratic bottom friction terms play a primary role for generating  $M_6$  in shallow waters, while  $M_6$  in the deep area is also affected by such factors such as the other nonlinear terms in the momentum equations (Sheng and Wang, 2004).

## 4.3. Asymmetric distortion of $M_4$ and $M_6$

From the results above, the spatially-averaged amplitude ratios  $M_2:M_4:M_6$  are 1.00:0.15:0.11. The relatively large  $M_4$  and  $M_6$  overtides generate strong asymmetric distortion in ZTYB. In this section, we discuss the characteristics of this asymmetric distortion by using the ZTYB CAT data.

Asymmetric distortion is mainly caused by the interaction of  $M_2$ and  $M_4$  (Aubrey and Speer, 1985; Speer and Aubrey, 1985; Friedrichs and Aubrey, 1988). The ratio of the velocity amplitudes of  $M_4$  to  $M_2$ (hereinafter  $M_4/M_2$ ) is calculated to show the asymmetric distortion resulting from  $M_4$  currents in the observational region (Fig. 7a).  $M_4/M_2$ ranges from 0.02 to 0.39 in the observational region. It is relatively small (<0.2) and varies little in the north and near Fodu channel, while it abruptly increases to its highest value (0.39) near Qinzimen channel, indicating that the asymmetry changes dramatically near



**Fig. 4.** Scatter plot of  $M_4$  and  $M_{4_{cal}}$  (a) velocity amplitudes, (b) phases. In each panel the diagonal blue solid line denotes perfect agreement, and the blue dashed lines denote the standard deviation (STD) range of the velocity amplitudes from the diagonal line. The red dots (black crosses) indicate points at which the differences of both velocity amplitude and phase between  $M_4$  and  $M_{4_{cal}}$  are less (larger) than the STD of the differences between  $M_4$  and  $M_{4_{cal}}$  (i.e., 0.07 m s<sup>-1</sup> and 110°). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



Fig. 5. Tidal current ellipses of (a)  $M_6$ , and (b)  $M_{6\_cal}$ . Red lines indicate similar ellipses for  $M_6$  and  $M_{6\_cal}$ . The area enclosed by the dashed lines indicates the CAT observational region. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article).

Table 2 Spatial mean parameters of tidal current ellipses of  $M_6$  and  $M_6$  <sub>cal</sub> and their STDs.

|                            | Semimajor axis length $\pm$ STDs (m s <sup>-1</sup> ) | Semiminor axis length $\pm$ STDs (m s <sup>-1</sup> ) |  |  |
|----------------------------|---|---|--|--|
| ${ m M_6} { m M_{6\_cal}}$ | $0.11 \pm 0.04$<br>$0.07 \pm 0.02$                    | $0.03 \pm 0.02$<br>$0.01 \pm 0.00$                    |  |  |



**Fig. 6.** Scatter plot of  $M_6$  and  $M_{6\_cal}$  velocity amplitudes. In each panel the diagonal blue solid line denotes perfect agreement, and the blue dashed lines denote the standard deviation (STD) range of the velocity amplitudes from the diagonal line. The red dots (black crosses) indicate the points at which the differences of velocity amplitudes between  $M_6$  and  $M_{6\_cal}$  are less (larger) than the STD of the differences between  $M_6$  and  $M_{6\_cal}$  (i.e., 0.05 m s<sup>-1</sup>). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Qinzimen channel. The type of asymmetric distortion (flood- or ebbdominant) is determined by the relative phase of  $2M_2-M_4$  (Speer and Aubrey, 1985). The relative velocity phase of  $2M_2-M_4$  (Fig. 7c) changes gradually from about  $-90^\circ$  to  $90^\circ$ , from the west part to the east part of the observational region, indicating that the type of asymmetric distortion is flood-dominant in this area. But the type becomes ebbdominant ( $2M_2-M_4$  relative velocity phase larger than  $90^\circ$ ) in the middle area near Qinzimen channel (Friedrichs and Aubrey, 1988). Regardless of type, a larger  $M_4/M_2$  ratio denotes more distortion of the tide (Friedrichs and Aubrey, 1988), so there are relatively strong floodand ebb-dominant distortions near Qinzimen channel, with weak distortions in the rest of the region. Near Qinzimen channel, the tidal  $M_4$  and  $M_{4\_cal}$  current ellipses (Fig. 3) are not similar and the  $M_{4\_cal}$  tidal currents (Fig. 3b) are relatively small, indicating that the advection terms contribute less in this area; furthermore, there are no abrupt changes in  $M_6/M_2$  (Fig. 7b). Thus the continuity terms should be dominant in generating  $M_4$  here, and the  $M_2$  energy should mainly transfer to  $M_4$  (Parker, 1991; Blanton et al., 2002).

M<sub>6</sub> also plays a minor role in the tidal asymmetry (Blanton et al., 2002). The ratio of the velocity amplitudes of  $M_6$  to  $M_2$  (hereinafter  $M_6/M_2$ ) is also calculated to show the asymmetric distortion resulting from  $M_6$  in the observational region (Fig. 7b).  $M_6/M_2$  is less than 0.2 and changes gradually throughout the observational region. It is relatively small near Fodu channel and in the north part of the region, as in the case of  $M_4/M_2$ , while  $M_6/M_2$  is only about 0.05 near Qinzimen channel. Highest M<sub>6</sub>/M<sub>2</sub> is observed in middle of the CAT region with a value of 0.17. The relative velocity phase of 3 M<sub>2</sub>-M<sub>6</sub> (Fig. 7d) is about 90° in the middle and south part of the observational region. It becomes larger than 90° or less than -90° in the west and east part of the observational region. However, the values of  $M_6/M_2$  (Fig. 7b) are very small (about 0.1), so the asymmetric distortion caused by  $M_6$  is weak (Blanton et al., 2002). Compared with the results of  $M_4/M_2$ ,  $M_6/M_2$ M<sub>2</sub> is relatively small in most of the ZTYB region, indicating that M<sub>6</sub> contributes less to the asymmetric distortion.

## 4.4. Dynamic mechanisms of residual currents

The depth-averaged residual currents are shown in Fig. 1b. The residual currents are strong at the inflow from Luotou channel and weak in the eastern part of the observation region. The residual current vectors are eastward in the north, then turn southward near Fodu channel. To comprehend the main dynamic processes of the residual currents in ZTYB, we decompose the depth-averaged currents  $(\vec{u}=u\vec{i}+v\vec{j})$  observed by CAT into two parts: the tidal currents  $(\vec{u}'=u\vec{i}+v\vec{j})$  and the residual currents  $(\vec{U}=U\vec{i}+V\vec{j})$ . The momentum equation, integrated from the sea bottom to the sea surface and averaged over one tidal cycle can be written (Sheng and Wang, 2004; Zhu et al., 2015) as

$$\nabla_{h} \bullet (\overrightarrow{\overrightarrow{u} \, u}) = -g \nabla_{h} \overline{\eta} - \nabla_{h} (\overrightarrow{U} \, \overrightarrow{U}) + A_{m} \nabla_{h} \bullet (\nabla_{h} \, \overrightarrow{U}) + \frac{\overrightarrow{\tau_{w}}}{\rho h} - \frac{\overrightarrow{\tau_{h}}}{\rho h} - \overrightarrow{fk} \times \overrightarrow{U}$$
(14)



Fig. 7. The distribution of (a) the ratio of the velocity amplitudes of  $M_4$  to  $M_2$ , (b) the ratio of the velocity amplitudes of  $M_6$  to  $M_2$ , and the velocity phase in degrees of (c)  $2M_2$ - $M_4$ , (d)  $3M_2$ - $M_6$ . The black (white) lines in (c) and (d) indicate the 90° (-90°) contour lines.

Here,  $\vec{i}, \vec{j}, \vec{k}$  are unit vectors in the eastward, northward, upward directions, respectively;  $\nabla_h = \vec{i} \frac{\partial}{\partial x} + \vec{j} \frac{\partial}{\partial y}$ ;  $A_m$  is the horizontal eddy viscosity coefficient, which is set as 90 m<sup>2</sup> s<sup>-1</sup> (Cáceres et al., 2003). Overbars denote a temporal average over one tidal cycle.

The term on the left-hand side of Eq. (14) is known as the tidally averaged advection of the tidal currents (Sheng and Wang, 2004). It is the forcing term for the residual flow and is part of the "tidal stress" (Nihoul and Ronday, 1975). It is balanced by the six terms on the righthand side of Eq. (14). They are the pressure gradient of residual sea level  $(-g\nabla_{h}\overline{\eta})$ ; advection of the residual currents  $(\nabla_{h}(\vec{U}\vec{U}))$ ; horizontal mixing of residual currents  $(A_m \nabla_h \bullet (\nabla_h \vec{U}))$ ; time mean wind stress  $(\vec{\tau}_w)$ ; time mean bottom stress  $(\overline{\frac{i}{ib}})$ ; Coriolis term  $(-\overrightarrow{fk} \times \overrightarrow{U})$ . The wind stress was calculated as  $\vec{\tau}_w = C_w \rho_a |\vec{u}_w| \vec{u}_w$ ; here,  $\vec{u}_w = u_w \vec{i} + v_w \vec{j}$ , with  $u_w$  and  $v_w$  denoting the eastward and northward wind velocity components from reanalysis of data with six hour intervals (http://apps.ecmwf.int/ datasets/data/interim-full-daily/); Cw denotes the wind-speed drag coefficient  $C_w = 10^{-3}(0.63 + 0.066\sqrt{{u_w}^2 + {v_w}^2});$ by given  $\rho_{\rm c} = 1.29 \text{ kg m}^{-3}$  is air density (Smith and Banke, 1975). Here, we should note that, considering the spatial resolution of wind data (0. 75°x0.75°), we use wind data from a single point (122.25°E, 30.0°N) to represent the whole observational region.

To quantify the role of each term in Eq. (14) in generating the residual current in ZTYB, the maximum and mean values of these terms are calculated during one M<sub>2</sub> tidal period (Table 3). The horizontal distributions of the six terms (except  $-g\nabla_h\bar{\eta}$ ) in Eq. (14) are shown in Fig. 8a–f.

Fig. 8a shows that the tidally averaged advection term of the tidal currents  $(|\nabla_h \cdot (\vec{u}, \vec{u}')|)$  is large where the residual current is strong, the maximum value being  $3.36 \times 10^{-4}$  m s<sup>-2</sup> near Luotou channel. The mean value in the observation region is about  $1.25 \times 10^{-4}$  m s<sup>-2</sup>

## Table 3

Maximum and average values (in units of  $10^{-6}$  m s<sup>-2</sup>) of dynamic terms in the tidally averaged momentum equation, and percentages of each term relative to the forcing term.

|               | $\nabla_{\!h} \bullet (\overrightarrow{u'u'})$ | $\nabla_h(\vec{U}\vec{U})$ | $\left \nabla_{\!h} \bullet (A_m \nabla_{\!h} \overrightarrow{U})\right $ | $\frac{\overline{\tau_b}}{\rho h}$ | $ \vec{fk} \times \vec{U} $ | $\frac{\overline{\tau_W}}{\rho h}$ |
|---------------|--|----------------------------|---|------------------------------------|-----------------------------|------------------------------------|
| Maximum value | 336  | 176                        | 0.08  | 75                                 | 48.7                        | 11.0                               |
| Percentage,%  | 100  | 52.4                       | 0.02  | 22.3                               | 14.5                        | 3.3                                |
| Average value | 125  | 47.4                       | 0.5   | 8.1                                | 18.3                        | 5.2                                |
| Percentage,%  | 100  | 37.9                       | 0.4   | 6.5                                | 14.6                        | 4.2                                |

(Table 3). The spatial distribution of the residual current advection term  $(\nabla_h(\vec{U}\vec{U}))$  (Fig. 8b) is similar to that of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ , with larger values near Luotou channel, and its value becomes about  $2 \times 10^{-5}$  m s<sup>-2</sup> near Fodu channel. The maximum and mean values in the observation region are  $1.76 \times 10^{-4}$  m s<sup>-2</sup> and  $4.74 \times 10^{-5}$  m s<sup>-2</sup>, respectively. The mean value of  $|\nabla_h(\vec{U}\vec{U})|$  takes up 37.9% of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ . The spatial distribution of the time mean horizontal mixing term  $(A_m \nabla_h \cdot (\nabla_h \vec{U}))$ (Fig. 8c) is different from that of the first two terms, and the mean value of  $|A_m \nabla_h \cdot (\nabla_h \vec{U})|$  is only about  $5 \times 10^{-7}$  m s<sup>-2</sup>, which takes up 0.4% of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ . The spatial pattern of the time mean bottom friction term  $(\frac{\tau_h}{\rho h})$  (Fig. 8d) is similar to that of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ , with mean value about  $8.1 \times 10^{-6}$  m s<sup>-2</sup>, which is about 6.5% of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ . The time mean wind stress term  $(\frac{\tau_h}{\rho h})$  (Fig. 8f) is  $5.18 \times 10^{-6}$  m s<sup>-2</sup>, which is 4.2% of  $|\nabla_h \cdot (\vec{u} \cdot \vec{u}')|$ . The mean value of the time mean Coriolis term  $(-\vec{fk} \times \vec{U})$ (Fig. 8e) is 14.6% of  $|\nabla_* \cdot (\vec{u} \cdot \vec{u}')|$  (Table 1). The CAT does not measure sea

(Fig. 8e) is 14.6% of  $|\nabla_{h} \cdot (\vec{u} \cdot \vec{u})|$  (Table 1). The CAT does not measure sea surface level in the observation region, therefore we can only estimate the time mean horizontal pressure gradient term  $(-g\nabla_{h}\bar{\eta})$  by using Eq. (14). The results show that the mean value of  $|g\nabla_{h}\bar{\eta}|$  in this region is



**Fig. 8.** Contour plot (in units of 10<sup>-6</sup> m s<sup>-2</sup>) for terms in Eq. (14): (a)  $|\nabla_{h} \cdot (\vec{u \cdot u})|$ , (b)  $|\nabla_{h} (\vec{U \cdot U})|$ , (c)  $|A_{m} \nabla_{h} \cdot (\nabla_{h} \vec{U})|$ , (d)  $\left|\frac{\tau_{h}}{\rho h}\right|$ , (e)  $|\vec{k} \times \vec{U}|$ , (f)  $\left|\frac{\tau_{w}}{\rho h}\right|$ 

about  $4.55 \times 10^{-5}$  m s<sup>-2</sup>, which is about 36.4% of  $|\nabla_{h} \cdot (\overrightarrow{u'u'})|$ .

Therefore, the momentum equation (Eq. 14) of the residual currents in ZTYB is mainly balanced by the tidally averaged advection of the tidal currents, the averaged horizontal pressure gradient and the advection of the residual currents. In this region, wind stress, bottom friction and Coriolis force play relatively less important roles, and horizontal mixing is negligible.

## 5. Summary

In this study, we use observational data to reconstruct the horizontal distributions of  $M_4$  and  $M_6$  tidal ellipses and residual currents in ZTYB. Furthermore, we also discuss the dynamic mechanisms of the nonlinear tides and residual currents.

The mean semimajor and semiminor axis lengths of the  $M_4$  current ellipses measured by CAT are 0.15 and 0.05 m s<sup>-1</sup>, respectively. Also, substituting the measured tidal currents of the  $M_2$  semidiurnal tidal constituent into the advection terms of the two-dimensional shallowwater equations we calculated the predicted  $M_4$  (i.e.,  $M_{4\_cal}$ ). The mean semimajor and semiminor axis lengths of the  $M_{4\_cal}$  ellipses are 0.15 and 0.05 m s<sup>-1</sup>, respectively. Comparing the velocity amplitudes of  $M_4$ and  $M_{4\_cal}$ , spatial points with good agreement mainly appear near the Luotou and Qinzimen channels, indicating that  $M_4$  currents are mainly caused by the advection terms in the deep area, but are also generated by other factors in the observational regions where the values of the advection terms are small.

The mean semimajor and semiminor axis lengths of the  $M_6$  current ellipses are 0.11 and 0.03 m s<sup>-1</sup>. Substituting the  $M_2$  tidal currents into the quadratic bottom friction terms of the two-dimensional shallow-water equations, we calculated the predicted  $M_6$  (i.e.,  $M_{6\_cal}$ ). The mean semimajor and semiminor axis lengths of the  $M_{6\_cal}$  ellipses are 0.07 and 0.01 m s<sup>-1</sup>, respectively, slightly smaller than the values for  $M_6$ . Comparing the velocity amplitudes of  $M_6$  and  $M_{6\_cal}$ , the tidal current ellipses of  $M_6$  and  $M_{6\_cal}$  are in good agreement in the area

where depths are less than 20 m, indicating that the  $M_6$  currents are mainly caused by the quadratic bottom friction terms in shallow waters, but the friction mechanisms have less impact in the deep area.

All these results indicate that the  $M_4$  and  $M_6$  tidal currents directly measured by the CAT are credible. To the best of our knowledge, this study is the first nonlinear tidal current measurement by CAT. Furthermore, we also demonstrate that the overtides  $M_4$  and  $M_6$  are mainly generated by the nonlinear advection and quadratic bottom friction terms of  $M_2$ , respectively.

Following previous studies (Sheng and Wang, 2004; Zhu et al., 2015) we also analyze the main dynamic processes responsible for the residual currents by using the averaged horizontal momentum equation. The predominant terms in balancing the momentum equation of the residual currents are advection of the tidal currents, horizontal pressure gradient, and advection of the residual currents, while the bottom friction and Coriolis force terms contribute less.

The analyses of  $M_4$  and  $M_6$  and the residual currents that we have carried out in this study are based on simultaneous current measurements by CAT. The results show the advantages of the CAT system for accurately mapping horizontal variations of tidal currents and residual currents. Our study suggests that CAT measurements have advantages for current monitoring over a large area and understanding dynamic mechanisms in coastal regions.

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